

FinCEN Section 314(a) REQUEST

Date of E-mail received from Fincen:

Response Due Date to FinCEN:

FinCEN Tracking Nos:

The following areas have been searched:

- CIS Database (past 12 months) includes DDA, SAV, COD, Loans/Lines of Credit:
 - NIL report*
 - Positive Hit (list name & port of individual or entity below)

- Funds Transfers (wires) to search back 6 months for originator/transmittor or beneficiary/recipient of a funds transfer.
 - NIL report*
 - Positive Hit (copy of wire attached)

- Cash sales of Monetary Instruments sold (\$3,000.00 to \$10,000.00) for the past 6 months. Only sales to non-customers need to be searched, as well as any sales to customers that were matched from the CIS search.
 - NIL report*
 - Positive Hit (list name &/or port of individual or entity below)

Search Completed in all areas described above on:

If positive match identified, response to FinCEN via e-mail (copy of e-mail attached) on (date):

Validated by:

Signature

Date

NOTE: At least one regulator has indicated YOU SHOULD NOT KEEP THE FINCEN REQUEST ITSELF OR SHOULD KEEP IT ONLY IN A SECURE ENVIRONMENT. After the search has been completed, the list should be destroyed. You should only keep of log of the FinCEN Tracking number, the date(s) you searched, and an indication of whether you had a match. File copies in chronological date order and retain for five years.

** NIL Report – means No Information Located*